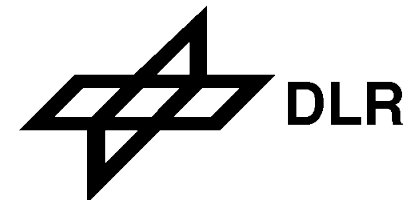


Implications and caveats of using MODTRAN-5 for inflight Validation and Calibration

Daniel Schläpfer

ReSe Applications Schläpfer /
Remote Sensing Laboratories Univ. Zürich
daniel@rese.ch

ReSe
Applications
Schläpfer



Outline

1. Introduction

- Using Modtran for Validation
- Forward simulation and inversion problems

2. MODO and MODTRAN[®] 5

- Changes in MODTRAN -5
- Using MODO in Imaging Spectroscopy
- Recent enhancements
- Open issues in forward simulation

3. ATCOR and MODTRAN[®] 5

- Using atmospheric correction for validation purposes
- Open issues in atmospheric correction

4. Conclusions and Outlook

Use of Modtran in Imaging Spectroscopy

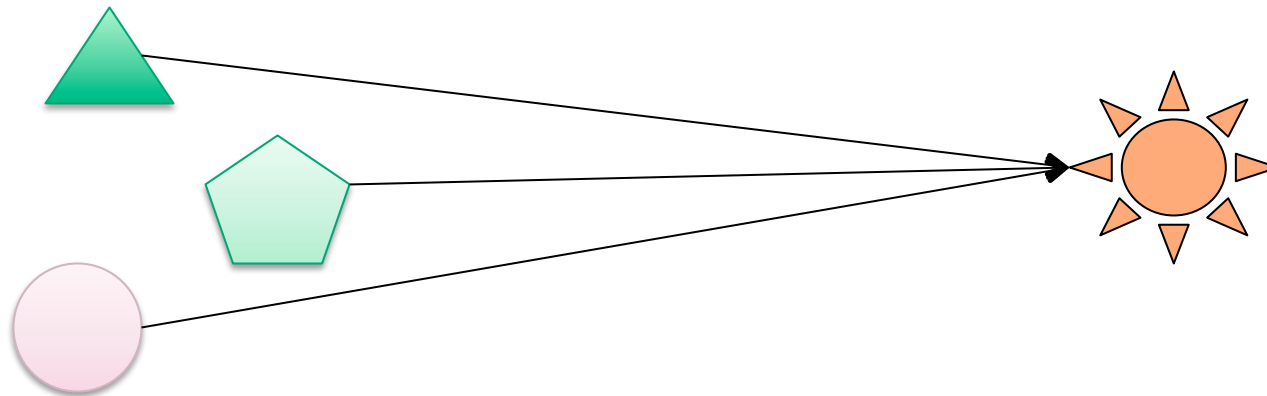
- Atmospheric Gas Retrieval
- Aerosol Retrieval
- Atmospheric correction
- Sensor Design
- Sensitivity Analysis
- Energy Balance Models
- Scene Simulation

and

- **Calibration and Validation**

At-Sensor Radiance Validation

Modtran built for forward simulation:

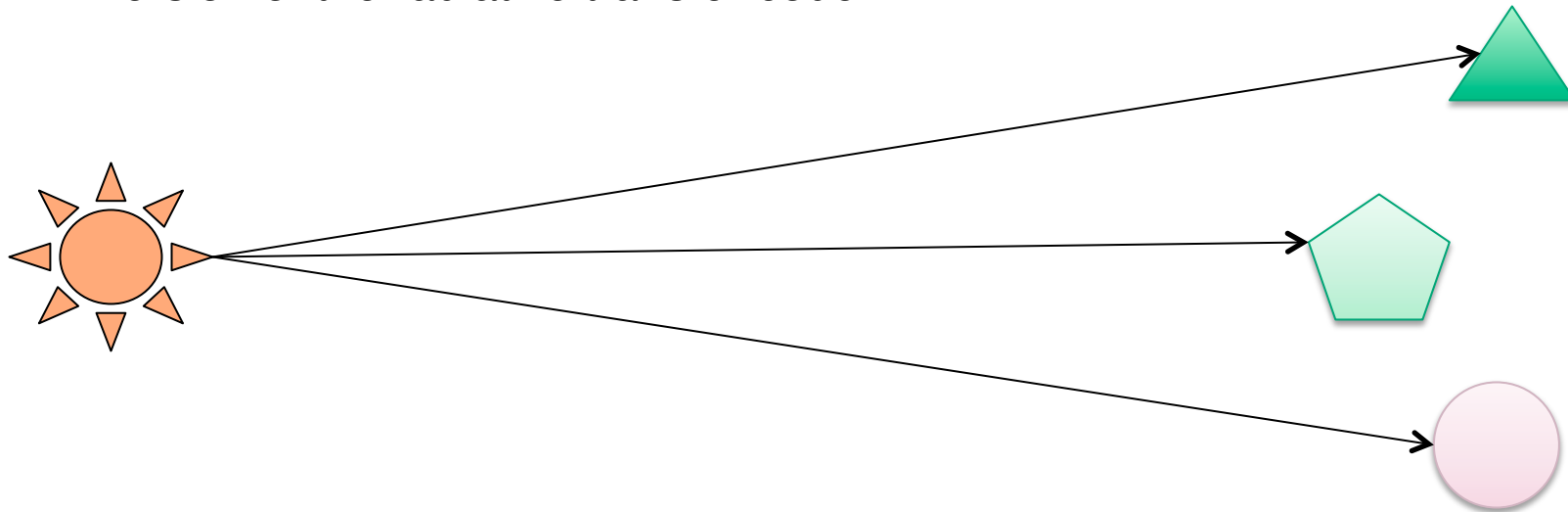


Validation done on at-sensor radiance

MOD0 being a helper to ease this part.

Validation of surface reflectance quantities

Inversion of the radiative transfer code



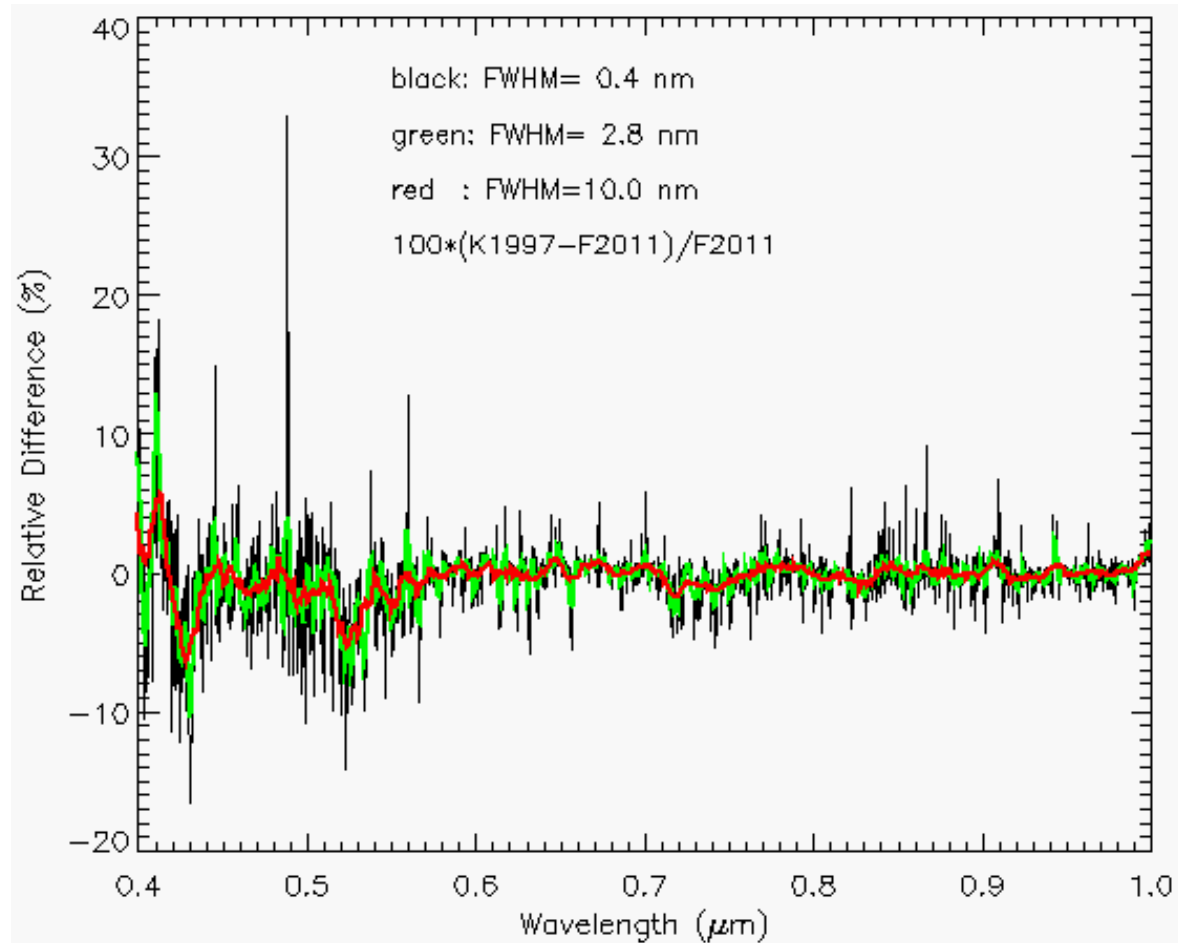
Validation on HDRF-type reflectances.

(ATCOR being one of the solutions for that part...)

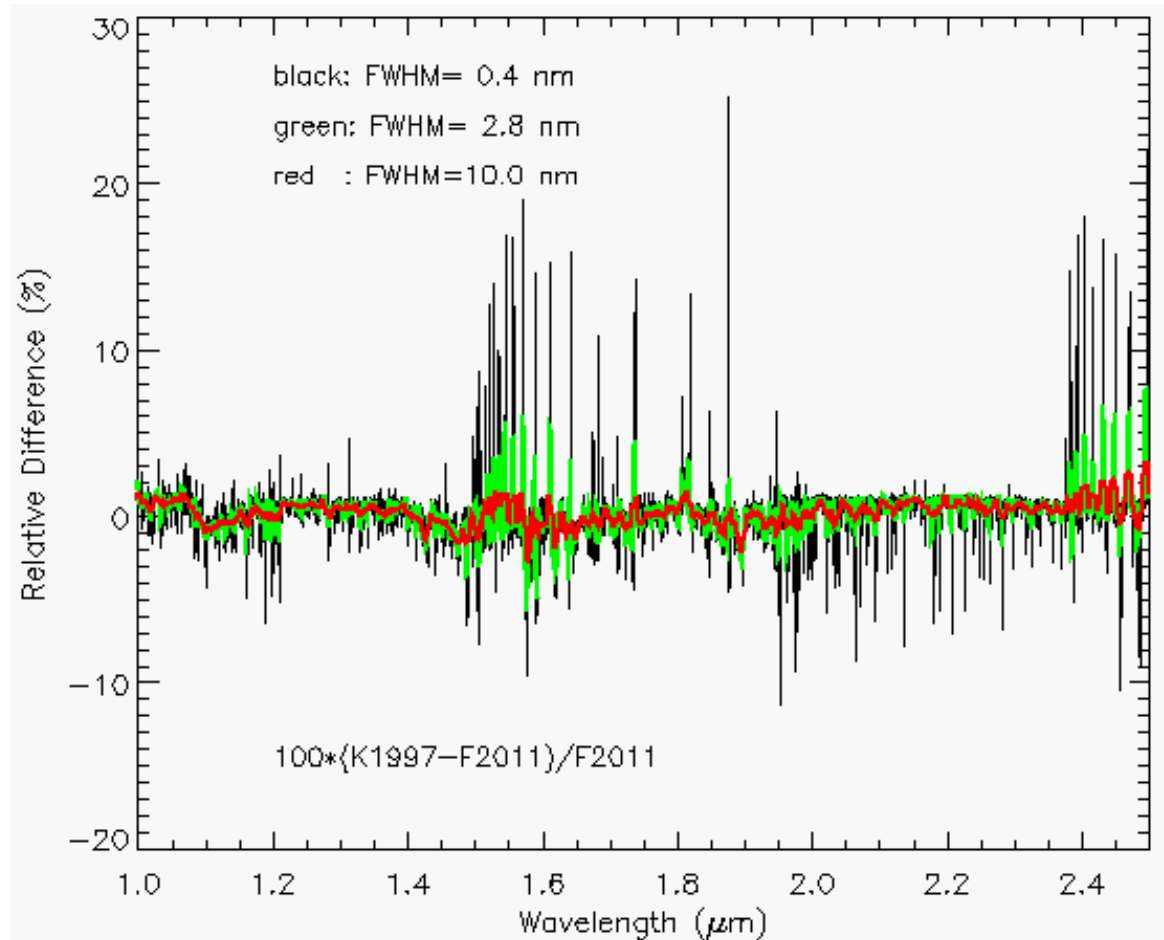
Modtran[®] 5 - what's new?

- Reformulating the band models for resolutions down to 0.1 cm^{-1} (from 1 cm^{-1}), i.e. 0.06 nm instead of 0.6 nm at 2500 nm.
- Solar database updates, including the calibrated Fontenla solar radiation model (provided at 0.1 cm^{-1} resolution).
- Using recompiled HITRAN-2008 database of molecular absorption.
- Potential to include any absorbing molecule available in the HITRAN database.
- Increased accuracy and speed of DISORT aerosol scattering algorithms.
- Fine tuning of aerosol scattering function through Angstrom coefficients.
- Side outputs for atmospheric correction purposes (i.e spherical albedo and diffuse transmittance)

Solar Function VNIR (Kurusz 1997 vs. Fontenla 2011)

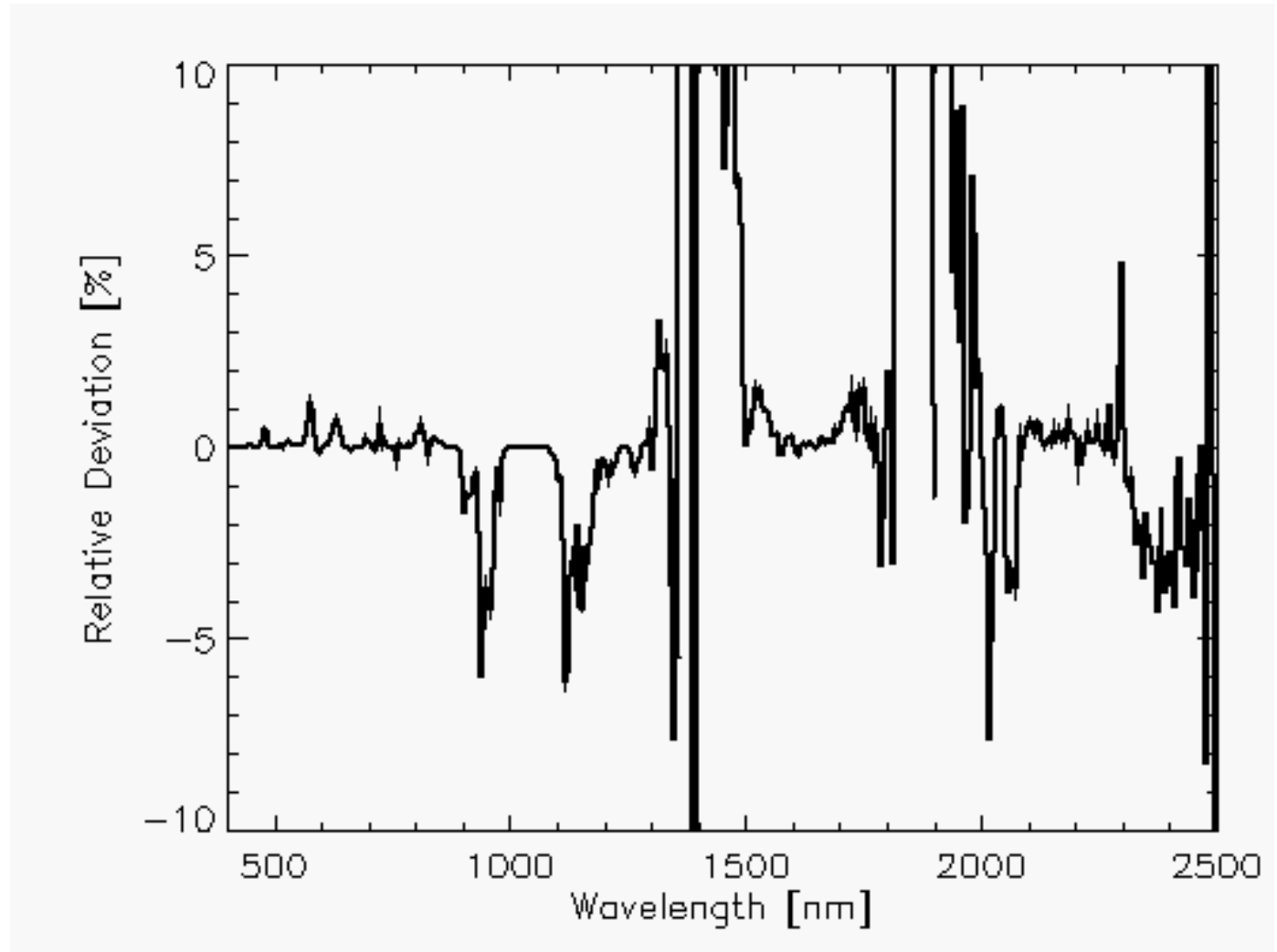


Solar Function SWIR



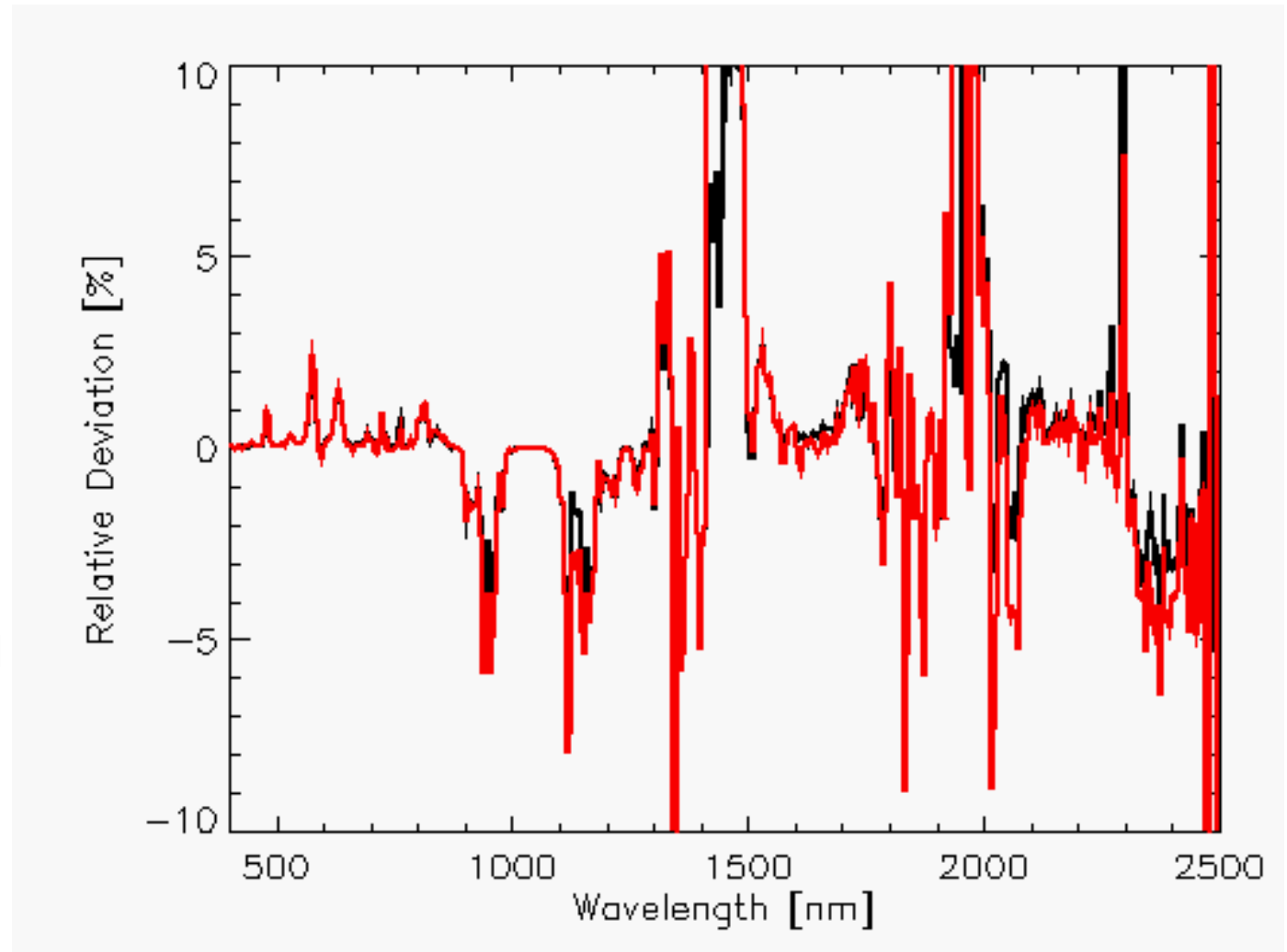
Transmittance – Modtran5

Difference of Modtran-4 Simulation (2001 Hitran) to Modtran-5 (5nm):



Radiance – Modtran 5

Difference of Modtran-4 Simulation (2001 Hitran) to Modtran-5 (5nm):

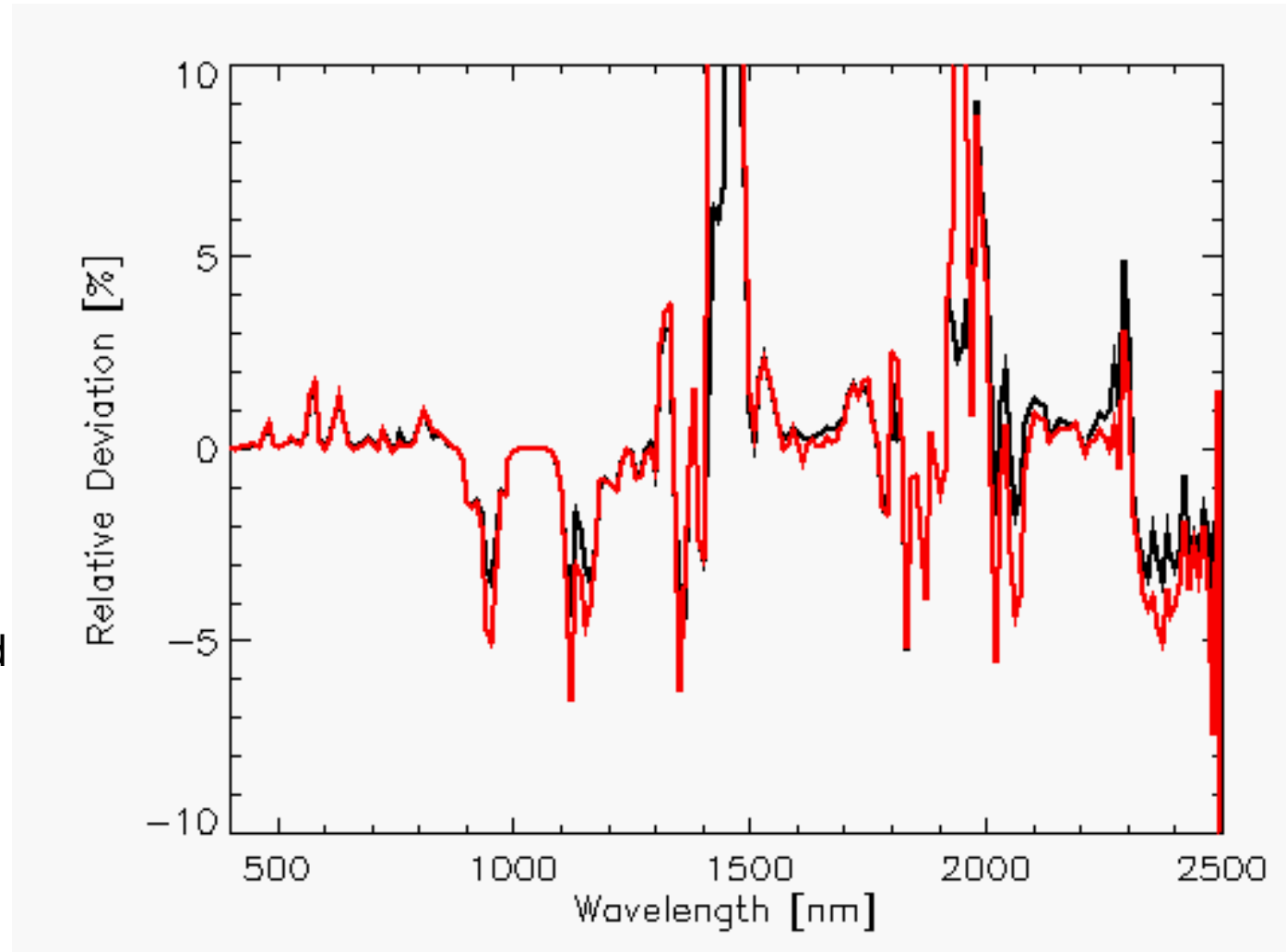


red: total rad,
black: path rad

Radiance – Modtran 5

Difference of Modtran-4 Simulation (2001 Hitran) to Modtran-5 (10nm):

red: total rad,
black: path rad



MODO with MODTRAN-5

"MODTRAN Organizer" software

- 1994: Development started in 1994
- 1996: First version available
- 2000: New Foundation 2000 (for Modtran 3)
- 2004: Release of Version 3 for Modtran 4
License from AFRL for inclusion of Modtran 4
- 2011: Update to Version 4 for Modtran4 and in parallel Version 5
for Modtran5

Designed with Imaging spectroscopy data validation and sensitivity analysis in mind.

MM 0 2
FF 8F 5 3
 1 0
 100.000
 1 2
 47.200
 4000.0
 0

X Editing Tape5 for MODTRAN 5

Modtran | Text | Std | Midlatitude Summer | Vert. Path from/to | Full Radiance | Multiple Scat. at H1 | - > Set Profile

Default Gases: To Selected Model | Tracers Profile | Last Profile | Standard Output | T-Boundary: 293.15 | >Spectr< : I-8

Old Scatter | No Abs | Normal | Scatter | Sunnes: 5 | CO2[ppm]: 365.000 | H2O: I | O3: I | Add: No More

Files: Kurucz 1997 | 1 cm-1 Standard | Orig. Resolution | Modify Aero | SolConst: I

Rayleigh Law | Ang-Coeff: 0.00000 | Ang-Exponent: 0.00000 | Humidity [%]: 0.00000 | SingleScat Points: 0

Default | Rural Extinction V=23km | Default | Season as Model | Normal Volcan Background | Std Hant. | No clouds | No VSA

Visibil.(km) : 0.00000 | Windspeed(m/s): 0.00000 | Cal-Windspeed: 0.00000 | Rain Rate(mm/h): 0.00000 | Ground Alt/km: 0.00000

Sensor Altitude H1(km): 100.000 | Final Altitude H2(km) : 0.00000 | Sensor Zenith (degree) : 180.000 | Angle H1-H2 : 0.00000

Path Length (km) : 0.00000 | Earth Radius (Def.0) : 0.00000 | Path short(0)/long(1) : 0 | Target Zenith : 0.00000

Only Observer | Mie Phase Function | Day-number of the year: 180 | Source Sun

Observer Latitude : 47.2000 | Observer Longitude : 351.500 | Source Latitude: 0.00000 | Source Longitude: 0.00000

Dec.Greenwich Time: 12.0000 | Path Azimuth: 0.00000 | Scatlon Angle: 0.00000 | Greenst. Asymmetry: 0.00000

Range: 4000.000 to 25000.00 | Resol: 15.000 | FWHM: 20.000 | NoPlt | cm-1 | Trian | noFlx | Flag: 00 | End Modtrn

Help ? | Select | Save | Show | Save As | Clone | test << 1 >> | Kill | Run Modtran | DONE

Sensor Simulation

Remote Sensing Specialists approach:

- Hide unnecessary Modtran options
- Use SI units common to remote sensing (i.e $W/(m^2 \text{ sr nm})$)
- Include common sensor systems and characteristics
- Extract the total radiance
- Feed ground characteristics from external sources

At-Sensor Radiance Simulator

Calculation Type: Low Res (15cm-1) High Res (1cm-1) High Res with DISORT High Res with DISORT and C-K

Model: Midlat

Gases: CO2 [pp

Aerosols: Rural

>Spectrum< Refl

Sensor Altitude [k

Day-number of the

Select Sensors Re

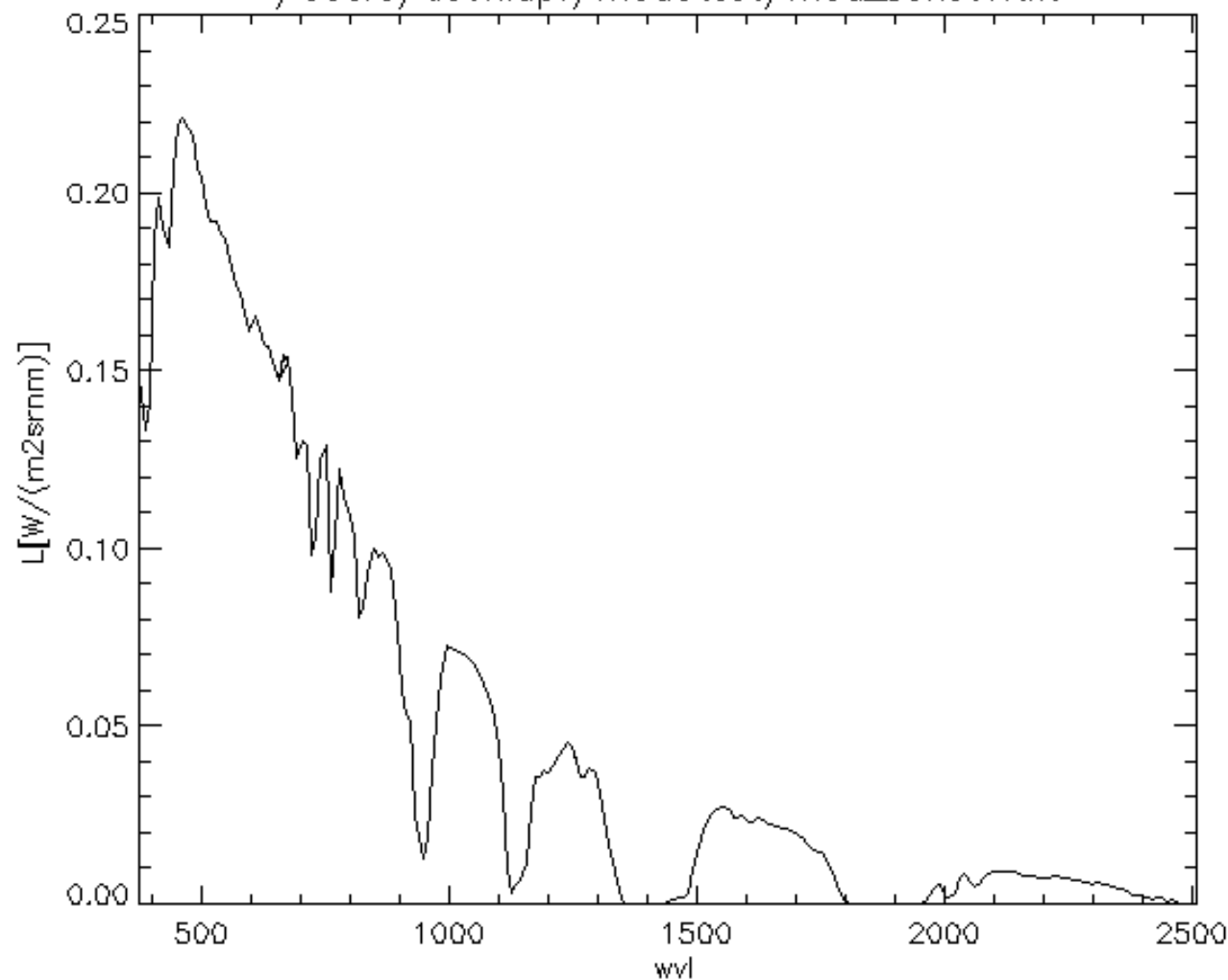
Define Output Fil

Help

MODO File Plot

File Font_Size Display Output Help

/Users/dschlapf/mod5test/mod_sensor.txt



: 180.000

: 180.000

Forward-Simulation Summary

Pro's:

- Full control of all MODTRAN Parameters
- "Only" calibrated imagery required (no preprocessing)
- Single spectrum processing feasible

Con's:

- Adjacency difficult to model
- Meteorological data input required
- Processing on single spectra (difficult statistics)

SACO – Simple Atmospheric Correction

Uses the *.acd output of Modtran® 5 directly for an atmospheric correction.

Restrictions:

- No angular dependencies
- No terrain correction
- One set of parameters per spectral band

Advantages:

- Very fast processing.
- Baseline atmospheric correction for evaluation of atmospheric correction developments and fast validation purposes.

SACO Calculation

$$\rho = \frac{\rho^*}{\tau_{tot} + \tau_{dif} + \rho^* \cdot s}$$

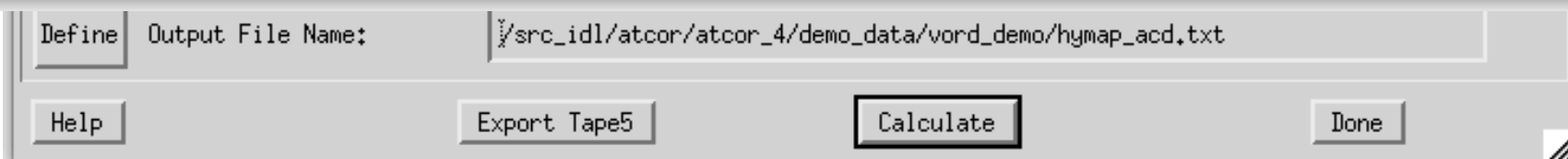
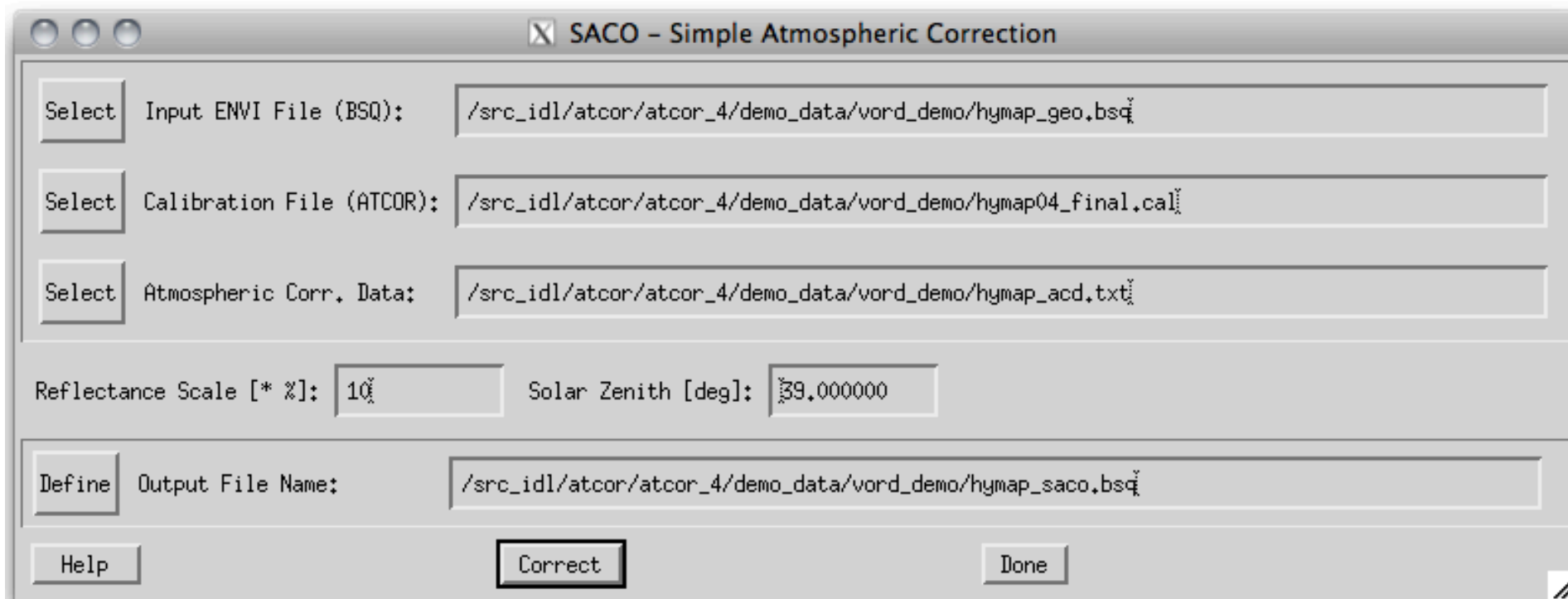
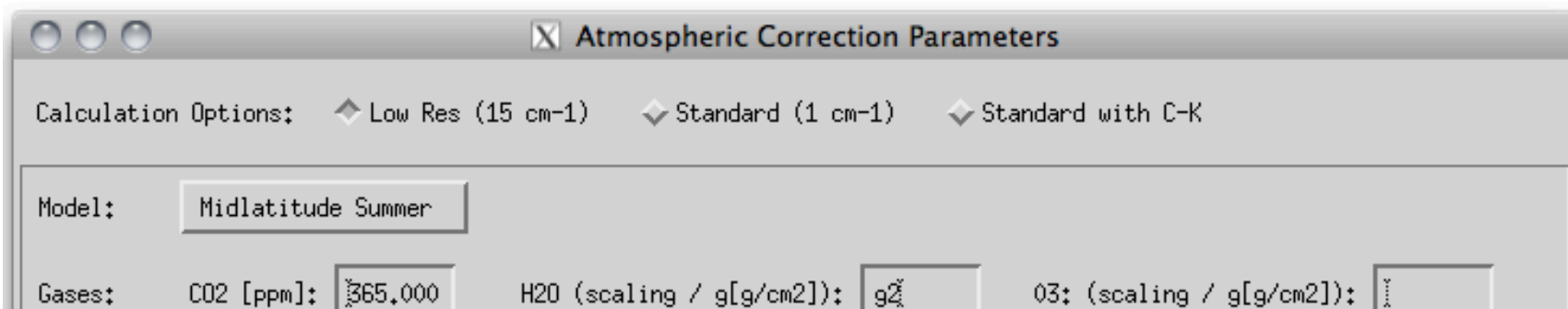
Surface 'reflectance' (directional)
(bottom of atmosphere reflectance)

$$\rho^* = \frac{[(DN \cdot c_1 + c_0) - L_{path}] \pi d^2}{E_0 \cos \theta}$$

Apparent at-sensor reflectance

$$L_{path} = L_{atm,0} + \frac{\tau_{dif,d} \cdot E_0 \cos \theta \cdot \tau_{dir,u} \bar{\rho}}{\pi d^2 (1 - \bar{\rho} s)}$$

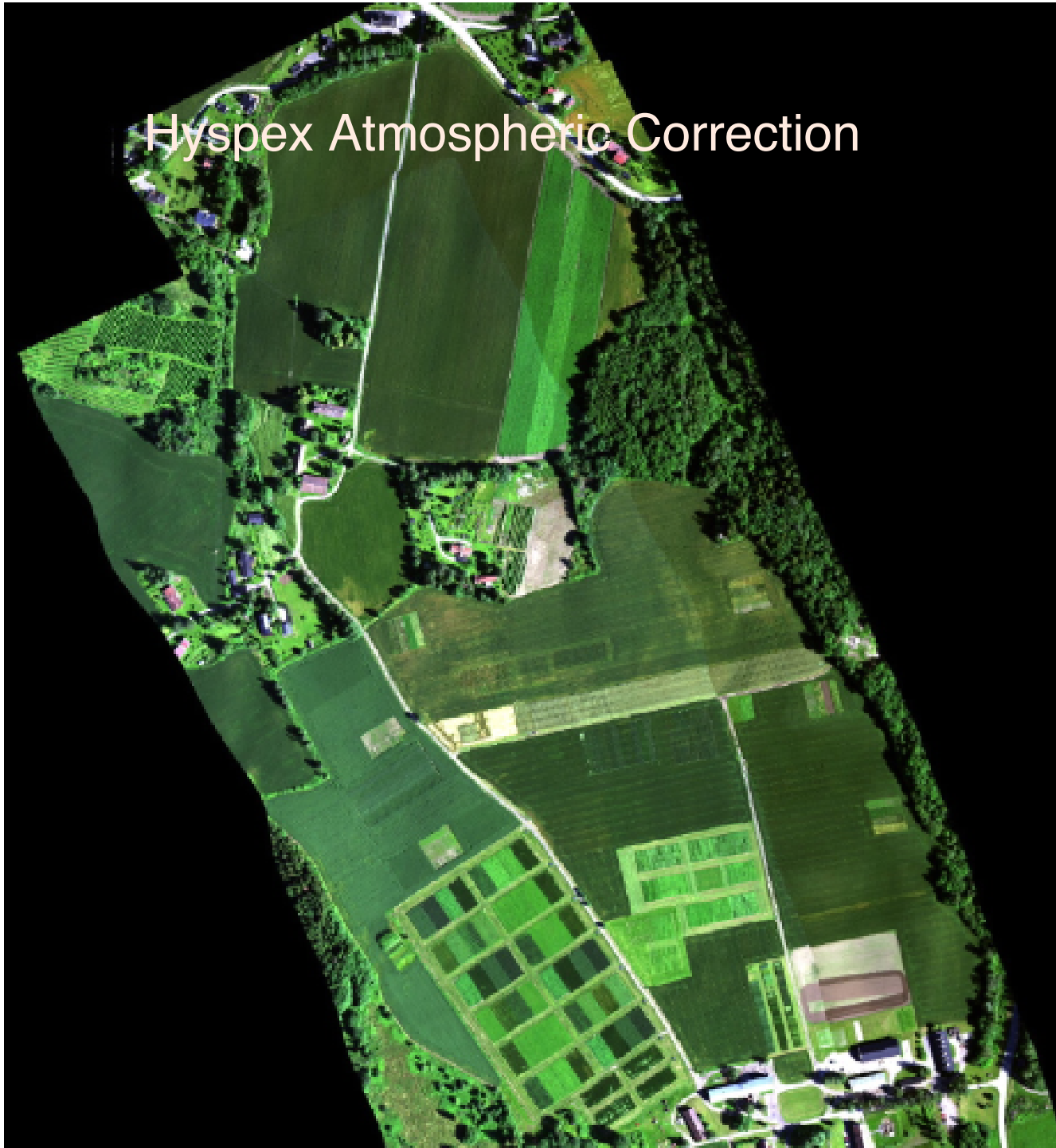
Total path radiance



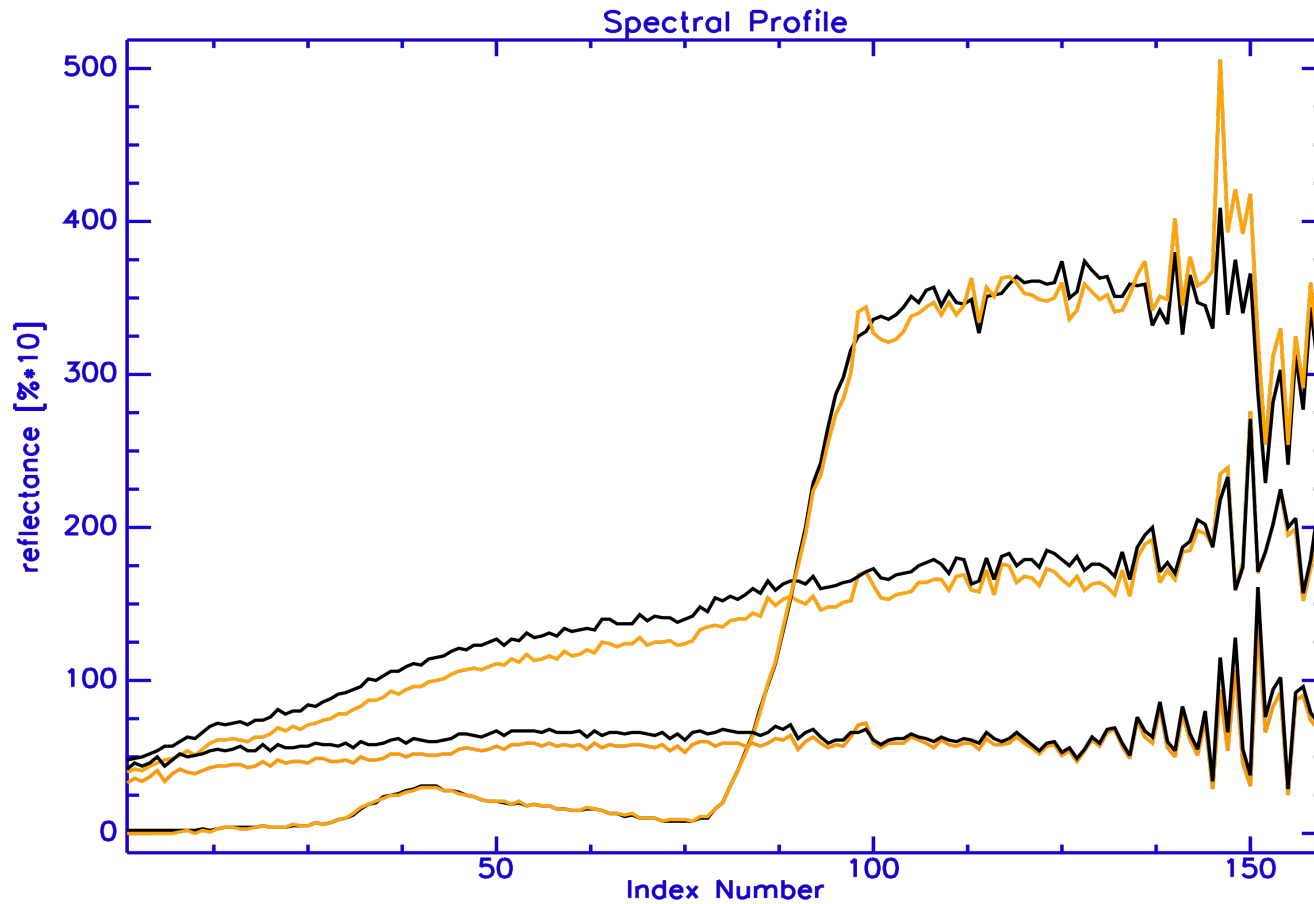
ATCOR - SACO

ATCOR	SACO
Fully automatic inputs	User-defined inputs
6-D look-up Table	1 Parameter set per scene
Terrain, viewing angle	Nadir conditions only
30' for 1000x3000x160 bands	15x faster
Processing chain component	Simple scientific test tool
Validated and broadly used	Non-validated
Side outputs (aerosol, water vapor, emissivity, ...)	No side outputs.

Hypspec Atmospheric Correction



SACO-Results



HYSPEX Imaging
Spectrometer Processing

Black: ATCOR 4 Output
Brown: SACO Output

(both unfiltered, single
pixels)

Inversion Simulation Summary

Pro's

- Validation on full imagery
- Atmospheric parameters from imagery
- Consistency check between variety of spectra
- Full inclusion of adjacency effects

Con's

- No BRDF correction
- Limited atmospheric LUTs
- Differences between methods

Conclusions

- Significant differences between MODTRAN-5 and MODTRAN-4
- reliable forward simulation is feasible through MODO/
MODTRAN-5 also for high resolution instruments.
- Validation of imaging spectroscopy data shall be done on both radiance level or reflectance level depending on validation question.
- Simple atmospheric inversion is suitable to get a first impression about data quality (but not for operational atmospheric correction)

Thanks!

Daniel Schläpfer

daniel@rese.ch

www.rese.ch

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daniel@rese.ch